

## ATTORNEY'S DOCKET NO: C1041/7016 (AWS)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Lipford et al.

Serial No:

09/954,987

Filed:

September 17, 2001

For:

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**BASED IMMUNO-AGONIST/ANTAGONIST** 

Examiner:

unknown

Art Unit:

1645

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)** 

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 18<sup>th</sup> day of December, 2001.

Yvonne Lingo

Commissioner for Patents Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

### Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

#### Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby also makes the following additional information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following co-pending non-provisional applications (copies enclosed) that may contain subject matter related to this application:

Docket No.	Serial No.	Filing Date	Inventor(s)
C1037/7016	09/009,634	01-20-1998	Hutcherson et al.
C1039/7009	09/082,649	05-20-1998	Davis et al.
C1040/7004	09/146,072	09-02-1998	Davis et al.
C1039/7017	09/191,170	11-13-1998	Krieg et al.
C1041/7002	09/241,653	02-02-1999	Wagner et al.
C1039/7027	09/306,281	05-06-1999	Gramzinski et al.
C1040/7006	09/316,199	05-21-1999	McCluskie et al.
C1039/7025	09/325,193	06-03-1999	Davis et al.
C1039/7020	09/337,584	06-21-1999	Krieg et al.
C1039/7021	09/337,619	06-21-1999	Krieg et al.
C1039/7023	09/337,636	06-21-1999	Krieg
C1039/7022	09/337,893	06-21-1999	Krieg
C1041/7005	09/355,254	02-22-2000	Wagner et al.
C1039/7028	09/361,575	07-27-1999	Krieg
C1039/7029	09/415,142	10-09-1999	Krieg et al.
C1039/7036	09/559,140	04-27-2000	Noll et al.
C1039/7043	09/629,477	07-31-2000	Krieg et al.
C1039/7042	09/630,319	07-31-2000	Krieg et al.
C1039/7041	09/655,319	09-05-2000	Krieg et al.
C1039/7035	09/669,187	09-25-2000	Krieg et al.
C1039/7044	09/672,126	09-27-2000	Hartmann et al.
C1040/7010	09/768,012	01-22-2001	Davis et al.
C1037/7013	09/776,479	02-02-2001	Bratzler et al.
C1041/7010	09/786,436	03-02-2001	Wagner et al.
C1037/7017	09/800,266	03-05-2001	Bratzler et al.
C1037/7018	09/801,839	03-08-2001	Bratzler et al.
C1039/7048	09/818,918	03-27-2001	Krieg et al.
C1039/7049	09/824,468	04-02-2001	Krieg et al.
C1039/7052	09/888,326	06-22-2001	Weiner et al.
C1041/7014	09/895,007	06-28-2001	Schetter et al.
C1037/7019	09/920,313	08-01-2001	Bratzler et al.
C1039/7053	09/931,583	08-16-2001	Krieg et al.
C1037/7021	09/949,194	09-07-2001	Petersen et al.
C1039/7057	09/965,101	09-26-2001	Davis et al.

#### Remarks

A copy of each of the above-identified items of information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, Lipford et al., Applicant(s)

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